| FORM PTO-1449  |             |  |              |                 | ATTY. DOCKET                                 |                      | SERIAL NO.<br>09/738,431 |             |            |
|--|-------------|--|--------------|-----------------|--|----------------------|--------------------------|-------------|------------|
| USI  | OF PATE     | ENTS AND OTHER ITEM                      | ICANT'S      | APPLICANT:      |  |                      |                          |             |            |
| OIP  | E INFO      | RMATION DISCLOSURI                       | STATEMEN'    | T               | Richard S. Ginn, et al.  FILING DATE: GROUP: |                      |                          |             |            |
|  | <i>(</i> 5) | (Use several sheets if ne                | cessary)     |                 | December 14, 200                             | 0                    | 3731                     |             |            |
| MAR 3  | 0 2001 y    |  |              |                 |  |                      |                          |             |            |
| THE STATE OF THE S |             | U.S. PATENT DOCUMENTS                    |              |                 |  |                      |                          |             |            |
| EXAMENTAL<br>INITIAL   | VIEW I      | DOCUMENT NUMBER                          | DATE         |                 | NAME   | CLASS                | SUB<br>CLASS             |             | ING<br>ATE |
|  | AA          | 4,878,915                                | 11/7/1989    | Brantigan       |  | _                    |                          |             |            |
|  | AB          | 5,015,247                                | 5/14/1991    | Michelson       |  | _                    |                          |             | <u>-</u> - |
|  | AC          |  |              |                 |  |                      |                          |             |            |
|  | AD          |  |              |                 |  |                      |                          |             |            |
|  | AE          |  |              |                 |  |                      |                          |             |            |
|  | AF          |  |              |                 |  |                      |                          |             |            |
|  | AG          |  |              |                 |  |                      |                          |             |            |
|  | AH          |  |              |                 |  |                      |                          |             |            |
|  | -           |  | FORFIGN      | N PATENT DOC    | CUMENTS                                      |                      |                          |             |            |
| TV AN AINISD   |             |  | TOREIGI      |                 |  |                      | SUB                      | TRANSLATION |            |
| EXAMINER<br>INITIAL  |             | DOCUMENT NUMBER                          | DATE         | cc              | DUNTRY                                       | CLASS                | 1                        | YES         | NO         |
|  | AI          |  | <u></u>      |                 |  |                      |                          |             |            |
|  | AJ          |  |              |                 |  |                      |                          |             |            |
|  | AK          |  |              |                 | <del></del>                                  |                      |                          |             |            |
|  |             | OTHER DOCUM                              | ΔENTS (Inclu | ding Author, Ti | tle, Date, Pertinent                         | Pages, etc           | c.)                      |             |            |
|  |             | PCT Publication No. W                    | O 00/71022 A | 2 "Homostatia   | Paying for Angions                           | CE                   |                          | .0/00       |            |
|  | AL<br>AM    | PC1 Fublication No. W                    | O 00//1032 A | iz, Hemostatic  |  | IPR 0 3              |                          |             |            |
|  | AN          |  |              |                 | TECHNO                                       | LOGY CF              | VTER R3700               |             |            |
|  | AO          |  |              |                 |  |                      | <del></del>              |             |            |
|  | AP          |  |              |                 |  |                      |                          |             |            |
| L  | 1 711       | 1  |              |                 |  |                      |                          |             |            |
|  |             |  |              |                 |  |                      |                          |             |            |
|  |             |  |              |                 |  |                      | <u></u>                  |             |            |
| OC-73848.1<br>Not yet ass  |             | 1/6\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ | m            | DA              | TE CONSIDERED:                               | -17-                 | 02                       |             |            |
| EXAMIN   | IER: Ini    | itial if reference is co                 | nsidered, v  | vhether or no   | ot citation is in c                          | onforma              | nce with M               | PEP 60      | )9;        |
|  |             | igh citation if not in o                 | conformanc   | ce and not co   | onsidered. Inclu                             | de a co <sub>l</sub> | by of this for           | rm with     | n next     |
|  |             | to applicant<br>losure Statement – Se    | ection 0 DT  | O-1440          |  |                      |                          | Page        | 1 of 1     |
| THIOLINAGIC  | ואוע ווינ   | iosure Statement – Sc                    | CCUOU 9 F I  | U-1447          |  |                      |                          | ı age       | . 1 01 1   |

| FORM PTO-1449  HST PF PATENTS AND OTHER ITEMS FOR APPLICANT'S |          |                                  |              |            | 258/299              | 258/299                   |               | SERIAL NO.<br>09/738,431 |  |  |
|---|----------|----------------------------------|--------------|------------|----------------------|---------------------------|---------------|--------------------------|--|--|
|   |          |                                  |              |            | APPLICANT            |                           |               |                          |  |  |
| / // V  | INTOR    | RMATION DISCLOSURE               | STATEMEN     | T          | Richard S. G         | inn, et al.               |               |                          |  |  |
| 1/  |          | •                                |              |            | FILING DAT           | 1                         | GROUP:        |                          |  |  |
| 1/ .  | ું 🌃 છું | Use several sheets if neo        | cessary)     |            | December 14          | 1, 2000                   | 3731          |                          |  |  |
| MAY   | <u> </u> |                                  |              |            |                      |                           |               |                          |  |  |
| FIFE TR   | OE MEET  |                                  | U.S. P       | ATENT DO   | CUMENTS              |                           |               |                          |  |  |
| EXAMINER<br>INITIAL   |          | DOCUMENT NUMBER                  | DATE_        |            | NAME                 | CLASS                     | SUB<br>CLASS  | FILING<br>DATE           |  |  |
| PN  | 1.       | 5,292,332                        | 3/8/1994     | Lee        |                      |                           |               |                          |  |  |
| , M   | 2.       | 5,443,481                        | 8/22/1995    | Lee        |                      |                           |               |                          |  |  |
|   |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              |            |                      | REC                       | CEIVE         | <b>D</b>                 |  |  |
|   |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              |            | ·                    |                           | N D 1 2001    |                          |  |  |
|   |          |                                  |              |            |                      | TECHNOLO                  | OGY CENTER I  | ₹3700                    |  |  |
|   |          |                                  |              |            | •                    |                           |               |                          |  |  |
|   | ··       |                                  | FOREIGN      | N PATENT I | DOCUMENTS            |                           |               |                          |  |  |
| EXAMINER<br>INITIAL   |          | DOCUMENT NUMBER                  | DATE         |            | COUNTRY              | CLASS                     | SUB<br>CLASS  | TRANSLATION<br>YES NO    |  |  |
| 7,410,42  |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              |            |                      |                           |               | · · · · · · · · ·        |  |  |
|   | · · ·    | OTHER DOCUM                      | MENTS (Inclu | ding Autho | r, Title, Date, Pert | inent Pages, etc          | c.)           | ·<br>                    |  |  |
| [<br>   |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              | •          |                      |                           |               | •                        |  |  |
|   |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              |            | •                    |                           |               |                          |  |  |
|   |          |                                  |              |            |                      |                           |               |                          |  |  |
|   |          |                                  |              |            |                      |                           |               |                          |  |  |
| EXAMINEI<br>Not yet ass                                       |          |                                  |              |            | DATE CONSIDER        | RED:                      |               |                          |  |  |
| Not yet ass<br>EXAMIN   | ER: Ini  | tial if reference is co          | nsidered, v  | whether o  | r not citation is    | in conforma               | nce with M    | 1PEP 609;                |  |  |
| Draw lin  | e throu  | gh citation if not in o          | conforman    | ce and no  | ot considered. I     | Include a co <sub>l</sub> | oy of this fo | rm with next             |  |  |
| Information   | n Discl  | to applicant osure Statement – S | ection 9 PT  | O-1449     |                      |                           |               | Page 1 of                |  |  |

|  |                |   | 258/299                      | <b>SERIAL NO.</b> 09/738,431 |                                   |           |              |             |              |
|--|----------------|---|------------------------------|------------------------------|-----------------------------------|-----------|--------------|-------------|--------------|
| OLA                                    | OF PAT         | TENTS AND OTHER ITEM<br>DRMATION DISCLOSURI | IS FOR APPLIC<br>E STATEMENT | CANT'S                       | APPLICANT:<br>Richard S. Ginn, et | al        |              |             |              |
| O I P INFORMATION DISCLOSURE STATEMENT |                |   |                              |                              | FILING DATE:                      |           | GROUP:       |             |              |
| JUL 1 2 200                            | <u> (C) 83</u> | (Use several sheets if ne                   |                              | December 14, 2000            | )                                 | 3731      |              | 777         |              |
| 200                                    | KE S           |   | U.S. PA                      | TENT DOCU                    | MENTS                             |           | ECHNOL       | ب           | H            |
| INITIAL                                |                | DOCUMENT NUMBER                             | DATE                         | NAME                         |                                   | CLASS     |              |             | •            |
| PN                                     | 1.             | 287,046                                     | 10/23/1883                   | Norton                       |                                   |           | CENTE        | 7 2         | Z            |
| $\Lambda$                              | 2              | 3,015,403                                   | 1/2/1962                     | Fuller                       |                                   |           | EH HOVOO     | 2001        |              |
|  | 3.             | 4,525,157                                   | 1/25/1985                    | Vaillancourt                 |                                   | _         | 8            | <u> </u>    |              |
|  | 4.             | 4,738,658                                   | 4/19/1988                    | Magro, et al.                |                                   |           |              |             |              |
|  | 5.             | 4,852,568                                   | 8/1/1989                     | Kensey                       |                                   |           |              |             |              |
|  | 6.             | 5,290,310                                   | 3/1/1994                     | Makower, et al.              |                                   |           |              |             |              |
|  | 7.             | 5,320,639                                   | 6/14/1994                    | Rudnick                      |                                   | _         |              |             |              |
|  | 8.             | 5,334,216                                   | 8/2/1994                     | Vidal, et al.  Myers, et al. |                                   |           | -            |             | <u>-</u>     |
|  | 9.             | 5,486,195                                   | 1/23/1996                    |                              |                                   | _         |              |             |              |
|  | 10             | 5,522,840                                   | 6/4/1996                     | Krajicek                     |                                   |           |              |             |              |
|  | 11.            | 5,728,122                                   | 3/17/1998                    | Leschinsky,                  | et al.                            |           |              |             | <u> </u>     |
| V                                      | 12.            | 5,871,474                                   | 2/16/1999                    | Hermann, et                  | al.                               |           | -            |             |              |
| PN                                     | 13.            | 5,871,501                                   | 2/16/1999                    | Leschinsky,                  |                                   |           |              |             |              |
|  |                |   | FOREIGN                      | PATENT DO                    | CUMENTS                           |           |              | <del></del> |              |
| EXAMINER<br>INITIAL                    |                |   | DATE                         |                              | DUNTRY                            | CLASS     | SUB<br>CLASS | YES         | LATION<br>NO |
|  |                |   |                              |                              |                                   |           |              |             |              |
|  |                | OTHER DOCU                                  | MENTS (Includ                | ling Author. T               | tle, Date, Pertinent              | Pages, et | c.)          | <u> </u>    |              |
|  |                |   |                              |                              |                                   |           |              | ·           |              |
|  |                |   |                              |                              |                                   |           |              |             |              |

**EXAMINER:** 

Not yet assigned

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609;

Draw line through citation if not in conformance and not considered. Include a copy of this form with next

communication to applicant

| LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S |             |                            |              |              | 258/299 SERIAL NO. 09/738,431  APPLICANT: |                |                |                    |  |
|---|-------------|----------------------------|--------------|--------------|---|----------------|----------------|--------------------|--|
|   |             |                            |              |              |   |                |                |                    |  |
| 1011  | E.          | (Use several sheets if ne  | (Coccany)    |              | FILING DATI<br>December 14,               |                | GROUP:<br>3731 |                    |  |
| <b>/</b>  | <u></u>     | (Ose several sheets if the | CESSAI Y)    |              | December 14,                              |                | 3731           |                    |  |
| MAR 2 5   | 2002        |                            | IIS P        | ATENT DOC    | LIMENTS                                   |                |                |                    |  |
| COMINIED .                                      | 1 .89       | 1                          | 1            | 1            |   |                | SUB            | FILING             |  |
| EXAMINER<br>INTERACE                            | ARK         | DOCUMENT NUMBER            | DATE         |              | NAME                                      | CLASS          |                | DATE               |  |
| PN  | 1.          | 5,290,310                  | 3/1/1994     | Makower, e   | t al.                                     |                |                |                    |  |
| PN  | 2.          | 5,320,639                  | 6/14/1994    | Rudnick      |   |                | -              |                    |  |
|   | ļ. <u> </u> |                            |              |              |   |                | 01             |                    |  |
|   |             |                            |              |              |   |                | 3700           | 22                 |  |
|   | 1           |                            |              |              |   |                | 0 %            | C                  |  |
|   |             |                            |              |              |   |                | MAIL ROOM      | RECEIVE            |  |
|   |             |                            |              |              |   |                |                |                    |  |
|   |             |                            |              |              |   |                | RON            |                    |  |
|   |             |                            |              |              |   |                | 3              |                    |  |
| <u> </u>  | <u> </u>    |                            |              |              |   |                |                | <u> </u>           |  |
|   |             |                            | FOREIGN      | N PATENT DO  | DCUMENTS                                  |                |                |                    |  |
| EXAMINER  |             |                            |              |              |   |                | SUB            | TRANSLATION YES NO |  |
| INITIAL_  |             | DOCUMENT NUMBER            | DATE         |              | COUNTRY                                   | CLASS          | CLASS          | YES NO             |  |
|   |             |                            |              |              |   |                |                |                    |  |
|   |             |                            |              |              |   |                |                |                    |  |
|   | -           |                            |              |              |   |                |                |                    |  |
|   |             |                            |              | <u> </u>     | .•  |                |                | <u> </u>           |  |
|   |             | OTHER DOCUM                | AFNTS (Inclu | ding Author. | Title, Date, Pertir                       | nent Pages, et | c.)            |                    |  |
|   | 1           |                            |              |              |   |                |                |                    |  |
|   |             |                            |              |              |   |                | <del></del>    |                    |  |
|   |             |                            |              |              |   | •              |                |                    |  |
|   |             |                            |              |              |   |                |                |                    |  |
|   |             |                            |              |              |   |                |                |                    |  |
|   |             |                            |              |              |   |                | <del> </del>   |                    |  |
|   |             |                            |              |              |   |                |                |                    |  |
|   |             |                            |              |              |   |                |                |                    |  |
|   |             |                            |              |              |   | •              |                |                    |  |
|   |             |                            |              |              |   |                |                |                    |  |
|   |             |                            |              |              |   |                |                |                    |  |
| OC-105753.                                      | 1EXAMIN     | NER: (). \                 | <u> </u>     | D            | ATE CONSIDER                              | ED:            |                |                    |  |
| Not yet ass                                     | igned       | KNerk                      | In-          |              | 12  | -17-02         | <u> </u>       |                    |  |
| EXAMIN  | ER: Ini     | tial if reference is co    | nsidèred, v  | whether or i | not citation is i                         | in conforma    | ince with M    | PEP 609;           |  |
| Draw lin  | e throu     | gh citation if not in o    | conforman    | ce and not   | considered. In                            | iclude a cop   | by of this for | m with next        |  |

| FORM PTO-14<br>LIST OF PA<br>O \ P EINE | ATENTS AND OTHER ITER     | ATTY. DO TET NO. 704117.7 (L&L 258/299)  APPLICANT: |                          | <b>SERIAL NO.</b> 09/738,431 |             |              |              |               |
|---|---------------------------|---|--------------------------|------------------------------|-------------|--------------|--------------|---------------|
| Num U + 2                               | (Use several sheets if n  |   | Richard Ginn FILING DATE |                              | GROUP:      |              |              |               |
| NOV 0 1 2002                            | <u> </u>                  |   |                          | December 14, 20              | 000         | Not Yet Assi | gned         |               |
| \$<br>\$<br>\$                          | <del>}</del>              |   |                          |                              |             |              |              |               |
| EXAMINER                                |                           | U.S. P/   | ATENT DOCU               | MENTS                        |             |              |              |               |
| EXAMINER<br>INITIAL                     | DOCUMENT NUMBER           | DATE  |                          | NAME                         | CLASS       | SUB<br>CLASS |              | LING<br>ATE   |
| PN                                      | 5,032,125                 | July 1991   | Durham et                | al.                          |             |              | <u> </u>     |               |
| PN                                      | 5,061,274                 | October<br>1991                                     | Kensey                   |                              | _           | -            |              |               |
| PN                                      | 5,425,757                 | June 1995   | Tiefenbrun               | et al.                       |             |              |              |               |
| PN                                      | 5,571,181                 | November<br>1996                                    | Li                       |                              | REC         | EIVED        |              |               |
| PN                                      | 5,645,565                 | July 1997   | Rudd et al.              |                              | NOV         | - 8 2002     |              |               |
| PN                                      | 6,016,806                 | January<br>2000                                     | Webb                     |                              | ECHNOLOG    | CENTER R37   | 700          |               |
| ,                                       |                           |   |                          |                              |             |              |              |               |
|   |                           |   | <u> </u>                 |                              |             |              |              |               |
|   | 3-2-2-2                   | FOREIGN   | PATENT DO                | CUMENTS                      |             |              |              |               |
| EXAMINER<br>INITIAL                     | DOCUMENT NUMBER           | DATE  | c                        | OUNTRY                       | CLASS       | SUB<br>CLASS | TRANS<br>YES | SLATION<br>NO |
|   |                           |   |                          |                              |             |              |              |               |
|   |                           |   |                          |                              |             |              |              |               |
|   |                           |   |                          |                              |             |              |              |               |
|   | OTHER DOCUME              | ENTS (Includin                                      | g Author, Tit            | le, Date, Pertinen           | t Pages, et | c.)<br>      |              |               |
|   |                           |   |                          |                              |             |              |              | <u>-</u>      |
|   |                           |   |                          |                              |             |              |              |               |
|   |                           |   |                          |                              |             |              |              |               |
|   |                           |   |                          |                              |             |              |              |               |
|   |                           |   |                          |                              |             |              |              |               |
|   |                           |   |                          |                              |             |              |              |               |
| EXAMINER:                               | PA Jon Da.                |   | DA                       | TE CONSIDERE                 | D:<br>-17-1 |              |              |               |
| EXAMINER:                               | Initial if reference is c | onsidered, v  | whether or               | not citation is              | in confor   | mance with   | MPEP         | 609;          |

Draw line through citation if not in conformance and not considered. Include a copy of this form with

next communication to applicant

Information Disclosure Statement – Section 9 PTO-1449